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# Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons on Their Destruction, and the Organisation for the Prohibition of Chemical Weapons

# **Background document prepared by the Organisation for the Prohibition of Chemical Weapons\***

# I. Introduction

1. On 22 December 2018, the General Assembly adopted decision 73/546 on convening a conference on the establishment of a Middle East zone free of nuclear weapons and other weapons of mass destruction, in which it entrusted to the Secretary-General the convening, no later than 2019, of a conference on that topic. It also requested the Secretary-General to convene annual sessions of the Conference.

2. In an email communication dated 8 June 2023, the Office for Disarmament Affairs transmitted an invitation from the President of the third session of the Conference addressed to the Organisation for the Prohibition of Chemical Weapons (OPCW) to submit relevant background documents for the consideration of the Conference at its fourth session. The present document is hereby submitted following that request.

3. The Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction is an international treaty whose main object and purpose are to exclude completely the possibility of the use of chemical weapons. To that end, it establishes a global verification regime to monitor the destruction of declared chemical weapons stockpiles and prevent the re-emergence of chemical weapons, as well as to monitor the production, processing and consumption of toxic chemicals for purposes not prohibited under the Convention. The Convention contains provisions for international cooperation to support the peaceful and authorized uses of chemistry, as well as for assistance and

<sup>&</sup>lt;sup>6</sup> The present document was submitted for processing after the deadline for technical reasons beyond the control of the submitting office.





protection against the use or threat of use of such weapons. The Convention entered into force on 29 April 1997.

4. The Convention establishes a global prohibition on chemical weapons through the verified elimination of all declared chemical weapons stockpiles and production facilities. All States parties to the Convention must never develop, produce, acquire, stockpile or use chemical weapons, or transfer them to other parties. They must destroy any chemical weapons that they own or possess or that they abandoned on the territory of another State party. Furthermore, they must destroy or convert facilities that they own or possess that were involved in the production of chemical weapons.

5. The global elimination of chemical weapons rests on two conditions: acceptance of the Convention by all States and compliance by all States parties with their obligations.

# II. Work of the Organisation for the Prohibition of Chemical Weapons

6. OPCW is an independent international organization established in 1997 upon the entry into force of the Convention. Under the terms of the Convention, OPCW undertakes global activities that include: inspecting and verifying the destruction of existing chemical weapons, conducting chemical industry inspections, providing assistance and protection to its Member States in cases of the use of or the threat of the use of chemical weapons, and promoting international cooperation for the peaceful and authorized uses of chemistry.

# **III.** Verification regime

# A. Overview of the verification regime

7. The Convention is underpinned by a robust and comprehensive verification regime. Verification is the process by which OPCW ensures that States parties are in compliance with their obligations according to the following procedures: assessing declarations made by States Parties on a regular basis; conducting regular on-site inspections of declared military or industrial sites and/or facilities to verify the accuracy of submitted declarations; conducting challenge inspections upon request; and investigating the alleged use of chemical weapons. As part of the verification regime, OPCW has established a network of designated laboratories for the independent off-site analysis of environmental and biomedical samples. The laboratories take part in OPCW proficiency tests to maintain their designation status, thereby ensuring their fitness for purpose.

8. The Annex on Chemicals to the Convention contains the three schedules of chemicals based on the following criteria:

- (a) Schedule 1
- (i) Toxic chemicals with little or no peaceful purpose;
- (ii) Developed or used primarily as a chemical weapon;
- (b) Schedule 2

(i) Chemicals that can be used for chemical weapons production, but have certain legitimate uses;

(ii) Not produced in large commercial quantities;

(c) Schedule 3

(i) Chemicals that can be used for chemical weapons production, but have significant legitimate uses;

(ii) Produced in large commercial quantities.

Comprehensive guidelines provide room for inclusion of new generations of chemicals in the schedule list.

### **B.** Chemical weapons demilitarization

9. Central to the implementation of the Convention is the verified destruction of declared chemical weapons stockpiles, including the destruction or conversion of chemical weapons production facilities.

10. The Convention includes regulations regarding the destruction of chemical weapons, including an obligation not to harm people or the environment. Accordingly, possessor States parties are required to use safe methods and technologies for destroying chemical weapons. OPCW continuously monitors and verifies the destruction of such weapons.

11. As at 31 August 2023, 100 per cent, or 72,304 metric tons, of chemical weapons declared by States parties had been destroyed. On 7 July 2023, OPCW confirmed that the United States of America, the last remaining declared possessor State party, had completed the destruction of 100 per cent of its category 1 chemical weapons stockpiles.<sup>1</sup>

12. Since the entry into force of the Convention, 97 chemical weapons production facilities have been declared by 14 States parties. As of the end of 2022, all declared chemical weapons production facilities had been destroyed or converted for peaceful purposes. Of those, 74 had been destroyed by 13 States parties and 23 had been converted for peaceful purposes by 5 States parties. OPCW regularly inspects former chemical weapons production facilities that remain subject to verification, to further ensure compliance with the Convention.

13. States parties must also declare and undertake to destroy old and abandoned chemical weapons. OPCW monitors such destruction activities.

14. As of August 2023, OPCW had conducted 3,455 chemical weapons inspections since the entry into force of the Convention.

# C. Industry verification

15. The basis for the OPCW industry verification regime is established in article VI of the Convention. States parties are obliged to identify and declare specific activities and facilities in which scheduled chemicals are involved to ensure that they are used exclusively for purposes not prohibited under the Convention.

16. States parties are prohibited from trading schedule 1 and schedule 2 chemicals with countries that are not parties to the Convention. Monitoring the international

<sup>&</sup>lt;sup>1</sup> States parties must declare all chemical weapons stockpiles, which fall into three categories for the purpose of destruction. They are: category 1, chemical weapons based on schedule 1 chemicals and their parts and components; category 2, chemical weapons based on all other chemicals and their parts and components; and category 3, unfilled munitions and devices, as well as equipment specifically designed for use directly in connection with the employment of chemical weapons.

trade and transfer of all scheduled chemicals declared by States parties is an essential part of the efforts of OPCW to prevent the re-emergence of chemical weapons.

17. Other chemical production facilities that are facilities that may be capable of manufacturing chemical weapons-related materials are also subject to verification under article VI.

18. As of August 2023, the Technical Secretariat had conducted 4,461 industry inspections in more than 80 States parties since the entry into force of the Convention.

# **D.** Challenge inspections

19. As a means to resolve a serious concern about compliance, any State party can call for a short-notice inspection in any other State party. The challenge inspection can be conducted without the right of refusal, unless a three-quarters majority of the OPCW Executive Council decides against the request.

20. While no State party has yet requested a challenge inspection, OPCW carries out challenge inspection exercises for training and preparedness purposes.

#### E. Investigations of alleged use of chemical weapons

21. The use of chemical weapons constitutes a grave breach of the Convention and of international law. Accordingly, the Convention contains provisions that allow OPCW to conduct investigations of alleged use of chemical weapons. In a scenario involving the alleged use of chemical weapons by a State not party to the Convention or in a territory controlled by such a State, an investigation can be requested through the Secretary-General's Mechanism for Investigation of Alleged Use of Chemical and Biological Weapons, established through General Assembly resolution 42/37 C. In such a case, OPCW can place its resources at the disposal of the Secretary-General.

# **IV.** Role of the Convention in regional peace and security

# A. Universality

22. As at 31 August 2023, there were four States not party to the Convention: one was a signatory (Israel), and three were non-signatories (Democratic People's Republic of Korea, Egypt and South Sudan).

23. Universality underpins the full and global implementation of the Convention. Only through universal adherence can there be an assurance that all countries are legally bound to uphold the prohibition on chemical weapons.

24. OPCW therefore attaches a high priority to achieving the universality of the Convention and maintains contact with representatives of States not parties to the Convention in order to raise awareness of and sustain a positive dialogue on the importance of joining the Convention.

## **B.** Countering chemical terrorism

25. The contribution of OPCW to global antiterrorism efforts is enshrined in several articles of the Convention. It is based on the decision adopted by the OPCW Executive Council at its twenty-seventh session on the OPCW contribution to global antiterrorist efforts, namely that the full and effective implementation of all provisions of the

Convention is in itself a contribution to global antiterrorist efforts (decision EC-XXVII/DEC.5 of 7 December 2001, para. 1).

26. In its decision on addressing the threat posed by the use of chemical weapons by non-State actors (EC-86/DEC.9 of 13 October 2017), the Council underscored that the development, production, acquisition, possession, stockpiling, retention, transfer and use of chemical weapons by non-State actors posed a fundamental threat to the object and purpose of the Convention and to the achievement of a world free of chemical weapons, and that any actor who engaged in or attempted to engage in such activities must be held accountable.

27. In working to counter the threat of chemical terrorism, the Secretariat seeks to support its Member States in realizing the full and effective implementation of the Convention while also ensuring that it coordinates its efforts, both in prevention and response, with other relevant stakeholders in the international system.

28. As part of its commitment to international coordination, OPCW is a signatory to the United Nations Global Counter-Terrorism Coordination Compact. OPCW is also a Vice-Chair, together with the United Nations Interregional Crime and Justice Research Institute and the Office for Disarmament Affairs, of the Working Group on Emerging Threats and Critical Infrastructure Protection of the Compact, which is chaired by the International Criminal Police Organization (INTERPOL). Under this framework, OPCW is currently leading the implementation of a project entitled "Ensuring Effective Interagency Interoperability and Coordinated Communication in Case of Chemical and/or Biological Attacks – Phase III".

29. Recognizing that the Convention and Security Council resolution 1540 (2004) are mutually reinforcing in a number of areas, such as national legislation, chemical security and customs and border control, OPCW maintains long-standing cooperation with the Security Council Committee established pursuant to resolution 1540 (2004) and its Expert Group. The Secretariat contributed to the recent comprehensive review of resolution 1540 (2004), which concluded in 2022 with the renewal of the Committee's mandate. In addition, the Secretariat's experts participate in selected capacity-building activities related to the resolution and evaluate and respond to requests for assistance by States parties, which are transmitted within the framework of the Committee's matchmaking mechanism. The Secretariat coordinates with the Office for Disarmament Affairs to build synergies in support of the implementation of States parties' obligations under the Convention and resolution 1540 (2004), respectively.

## C. Regional capacity-building and assistance and protection

30. Under the Convention, the Secretariat provides technical assistance through a wide range of capacity-building programmes that facilitate the development of national implementing legislation, enhance the capacity of States parties to use chemistry for peaceful purposes and assist States parties in preparing for and responding to threats involving toxic chemicals.

31. The Secretariat provides tailored assistance and capacity-building support to aid States parties in the development and adoption of national legislation to fulfil their obligations under the Convention. Several States parties from the Middle East region have participated in the OPCW internship programme for legal drafters and national authority representatives with the aim of producing draft bills that cover the initial measures required in the Convention. The Secretariat has also organized national legal workshops in response to requests for assistance from Iraq (2018) and the Syrian Arab Republic (2019). It has organized general courses on the Convention, attended by 23 representatives from the Middle East since 2017;<sup>2</sup> courses on declaration and inspection obligations, attended by 21 representatives from the Middle East since 2017;<sup>3</sup> and a mentorship and partnership programme, attended by three Middle Eastern States parties, as both mentors and mentees, since 2012.<sup>4</sup>

32. In the Convention, OPCW is committed to promoting the peaceful uses of chemistry for the purposes of economic and technological development. OPCW programmes and activities are focused on integrated chemical management, the enhancement of analytical skills to analyse Convention-related substances and the promotion and exchange of knowledge in the field of chemistry. From 2017 to 2022, 276 participants from the following States parties and States not party to the Convention in the region attended the regional and subregional workshops: Bahrain, Egypt, Iran (Islamic Republic of), Iraq, Jordan, Kuwait, Lebanon, Libya, Oman, Palestine, Qatar, Saudi Arabia, Syrian Arab Republic, United Arab Emirates and Yemen.

33. The Secretariat assists States parties in capacity-building to prepare for and respond to the use of chemical weapons. Capacity-building activities include international and regional training; specialized training in subjects such as sampling and analysis, laboratory skills, medical and prehospital treatment and hospital preparedness; and courses for first responders. Since 2015, the Secretariat has conducted a capacity-building programme for States parties in the Middle East to enhance regional capabilities to respond to incidents involving chemical warfare agents and toxic chemicals. More than 220 first responders and other experts from national institutions involved in chemical emergency response have participated in such specialized training courses since 2015.

34. The increasingly demanding security environment, including the growing threat of the use of chemical weapons by non-State actors, has underlined the importance of programmes in the area of assistance and protection, as well as the interest of States parties in such programmes. Accordingly, States parties are permitted to develop national protection programmes against chemical weapons, and the Convention ensures that they can receive assistance, if they require it, to build their national capacities to respond to the use of chemical weapons. OPCW provides specific programmes in this area, including on detection and alarm systems and protective and decontamination equipment, training on medical assistance and treatment and advice on protective measures.

35. In May 2023, OPCW inaugurated its new Centre for Chemistry and Technology. This facility, which replaced the OPCW laboratory and equipment store, will significantly enhance its operational and capacity-building capabilities. It is an additional tool to integrate the Organisation's different tasks and provides opportunities to increase synergies for knowledge-sharing, scientific and technical cooperation and capacity-building. The Centre was funded entirely through voluntary contributions from Member States, the European Union and other donors.

<sup>&</sup>lt;sup>2</sup> Bahrain (1), Iran (Islamic Republic of) (1), Iraq (3), Jordan (4), Oman (2), Qatar (3), Saudi Arabia (1), Syrian Arab Republic (1), Türkiye (6) and United Arab Emirates (1).

<sup>&</sup>lt;sup>3</sup> Iran (Islamic Republic of) (9), Iraq (2), State of Palestine (2), Qatar (4), Saudi Arabia (2) and Türkiye (2).

<sup>&</sup>lt;sup>4</sup> Iran (Islamic Republic of) (2015), Syrian Arab Republic (2015) and Yemen (2013).

# **D.** Regional activities

#### Syrian Arab Republic

#### Destruction of chemical weapons

36. On 14 September 2013, the Syrian Arab Republic became a State party to the Convention. The Joint Mission of the Organisation for the Prohibition of Chemical Weapons and the United Nations for the Elimination of the Chemical Weapons Programme of the Syrian Arab Republic was established on 16 October 2013 to oversee the elimination of the Syrian chemical weapons programme. More than 1,300 tons of declared chemical agents were removed and destroyed during the operation. The complete destruction of the chemical weapons declared by the Syrian Arab Republic was verified by the Secretariat on 6 January 2016.

#### Declaration Assessment Team

37. In April 2014, the Director General of OPCW established the Declaration Assessment Team with the mandate to engage in consultations with the Syrian National Authority to clarify all identified gaps, inconsistencies and discrepancies noted in the initial declaration and related submissions by the Syrian Arab Republic under article III. The purpose of the Team is to ensure the fulfilment by the Syrian Arab Republic of all declaration-related obligations under the Convention, relevant decisions of OPCW policymaking organs and relevant Security Council resolutions.

38. Since April 2014, the Declaration Assessment Team has been engaged in consultations with Syrian authorities. To date, 24 rounds of consultations have been conducted, which include: technical meetings, interviews with persons involved in the chemical weapons programme, visits to chemical weapons-related sites involving the collection and analysis of samples and the receipt, review, evaluation and analysis of documents and information.

39. As a result of the work of the Declaration Assessment Team, the Syrian Arab Republic has submitted 17 amendments to its initial declaration, declaring additional previously undeclared elements of its chemical weapons programme. The Team raised and reported a total of 24 outstanding issues. Of these, 4 issues have been closed and 20 remain open. Since April 2021, the Secretariat's efforts to organize the next round of consultations between the Team and the Syrian Arab Republic have remained unsuccessful owing to, inter alia, the refusal of that country to grant entry visas to all members of the Team, which is not in line with the obligations of the Syrian Arab Republic under Security Council resolution 2118 (2013). Given these impediments to organizing the twenty-fifth round of consultations, the Secretariat deployed a reduced team composed of several members of the Declaration Assessment Team to the Syrian Arab Republic to conduct limited in-country activities in January and April 2023. Limited in-country activities cannot involve technical meetings between the Team and the Syrian National Authority. Pending the issuance of visas to all members of the Team, the Secretariat will continue to engage with the Syrian National Authority on the 20 outstanding issues, mainly through the exchange of correspondence, and will continue to conduct limited in-country activities.

#### Fact-finding mission

40. In response to persistent allegations of chemical weapons use in the Syrian Arab Republic, the OPCW fact-finding mission in the Syrian Arab Republic was set up in April 2014 to establish facts surrounding allegations of use of toxic chemicals for hostile purposes in that country.

41. Under its agreed terms of reference, the fact-finding mission has conducted visits to sites of alleged use of chemical weapons, where possible, and has interviewed witnesses, alleged victims, first responders and treating physicians. The fact-finding mission has also examined relevant documents and reports, including medical and hospital records, and obtained copies of such documents. In addition, environmental and biomedical samples have been collected and independently analysed by the OPCW network of designated laboratories.

42. Since its creation, the fact-finding mission has been deployed on  $64 \text{ missions}^5$  and has issued 20 reports. The fact-finding mission has identified a total of 20 incidents involving the likely or confirmed use of chemical weapons: 14 of chlorine, 3 of sulfur mustard and 3 of sarin.

## Organisation for the Prohibition of Chemical Weapons-United Nations Joint Investigative Mechanism

43. Following the findings of the fact-finding mission that chlorine had been used as a weapon in the Syrian Arab Republic, in March 2015, the Security Council adopted resolution 2209 (2015), in which it condemned any use of any toxic chemical as a weapon in the Syrian Arab Republic. Recalling that resolution, on 7 August 2015, the Council adopted resolution 2235 (2015) establishing the OPCW-United Nations Joint Investigative Mechanism. The Mechanism's mandate was renewed on 17 November 2016 in Council resolution 2319 (2016). In November 2017, the Council did not renew the mandate.

44. The mandate of the Joint Investigative Mechanism was to identify the perpetrators involved in the use of chemicals as weapons in the Syrian Arab Republic in instances in which the fact-finding mission had determined that an incident in that country involved or likely involved the use of chemicals as weapons. During its period of activity, the Mechanism submitted seven reports to the Security Council attributing responsibility to the Syrian Arab Republic for four incidents (Khan Shaykhun on 4 April 2017, Qaminas and Sarmin on 16 March 2015 and Talmenes on 21 April 2014) and to Da'esh for two (Umm Hawsh on 15 and 16 September 2016 and Marea on 21 August 2015).

#### Investigation and Identification Team

45. The Conference of the States Parties to the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction, at its fourth special session in June 2018, adopted a decision on addressing the threat from chemical weapons use. As part of the decision, the Conference decided that the Secretariat should put in place arrangements to identify the perpetrators of the use of chemical weapons in the Syrian Arab Republic (C-SS-4/DEC.3, dated 27 June 2018, para. 10).

46. To carry out the mandate, OPCW created the Investigation and Identification Team, which became fully operational in July 2019. The Team is charged with identifying and reporting on all information that is potentially relevant to the origin of chemical weapons in instances in which the fact-finding mission determines or has determined that use or likely use occurred and cases on which the Joint Investigative Mechanism has not issued a report.

47. The Team has issued three reports on its investigations into five separate incidents. The first report, which was focused on three incidents that occurred in Ltamenah, Syrian Arab Republic, on 24, 25 and 30 March 2017 (note S/1867/2020,

<sup>&</sup>lt;sup>5</sup> The total number of deployments, including coordination visits, consultations with experts, etc., added up to 113 as of the end of May 2023.

dated 8 April 2020), provided the conclusion that there were reasonable grounds to believe that the Syrian Arab Air Force used chemical weapons in Ltamenah on three occasions in March 2017. Sarin was used on two occasions and chlorine was used on one occasion.

48. The second report of the Team, which set out the findings of the investigations conducted into the incident in Saraqib, the Syrian Arab Republic, on 4 February 2018 (note S/1943/2021, dated 12 April 2021), provided the conclusion that there were reasonable grounds to believe that the Syrian Arab Air Force, under the control of the Tiger Forces, hit eastern Saraqib by dropping at least one chlorine-filled cylinder.

49. The third report of the Team was focused on an incident in Duma, the Syrian Arab Republic, on 7 April 2018 (note S/2125/2023, dated 27 January 2023), and provided the conclusion that there were reasonable grounds to believe that at least one helicopter of the Syrian Arab Air Force, operating under the control of the Tiger Forces, dropped two chlorine-filled cylinders that hit a residential area in Duma, killing 43 named individuals and affecting dozens more.

#### Libya

50. Upon joining the Convention, in 2004, Libya declared category 1, category 2 and category 3 chemical weapons. Under OPCW verification, the country completed the destruction of its category 1 and category 3 chemicals in May 2014 and May 2013, respectively.

51. Upon joining the Convention, Libya also declared three chemical weapons production facilities. One of them has been certified by the Secretariat as having been destroyed in March 2005, and two of them have been certified as converted for purposes not prohibited under the Convention and remain subject to verification.

52. In July 2016, the Government of National Accord of Libya asked for support and assistance in transporting and destroying outside Libyan territory the final remnants of its category 2 chemical weapons. Assistance was provided to Libya pursuant to a decision of the OPCW Executive Council (EC-M-52/DEC.1, dated 20 July 2016) and Security Council resolution 2298 (2016). On 23 November 2017, OPCW verified the complete destruction of the remnants of the category 2 chemical weapons at a certified waste treatment facility in Germany.

53. The clean-up operations at a former chemical weapons storage site in Libya, conducted with the assistance of OPCW, were completed in March 2020.

#### Iraq

54. Upon joining the Convention, in 2009, Iraq declared five former chemical weapons production facilities, four of which have been certified as destroyed. One facility was converted for purposes not prohibited under the Convention and remains subject to verification.

#### Chemical weapons storage facility encapsulation

55. The Secretariat provided assistance to the Government of Iraq in the destruction of chemical weapons remnants in two bunkers, using concrete encapsulation. OPCW verified that both bunkers had been completely encapsulated as at 14 December 2017.

56. In addition to the assistance described above, the Secretariat, in cooperation with five States parties, provided training to site workers to respond to medical emergencies and to handle, sample and transport contaminated material.

#### Technical assistance visits

57. The Secretariat has provided assistance to the Government of Iraq in response to allegations of use of chemical weapons in that country. In September 2015, Iraq informed OPCW of the use of chemical weapons in its territory. Since then, OPCW has conducted three technical assistance visits, in 2015, 2016 and 2017. OPCW concluded that sulfur mustard had been used as a chemical weapon, and reports detailing the findings have been made available to the Government of Iraq to aid in its investigations.